




# The Waring-Girard formulas for symmetric polynomials on spaces $\ell_p$

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Classical Waring-Girard formulas gives a representation of elementary and complete symmetric polynomials through the power symmetric polynomials. In this paper, we propose some analogs of the Waring-Girard formulas in the case of spaces  $\ell_p$ , where  $1 \leq p < \infty$ , and show an application of obtained formulas in combinatorics.

*Key words and phrases:* symmetric polynomial on a Banach space, Waring-Girard formula, algebraic bases, combinatorial relation.

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## Introduction

Let  $X$  be a topological linear space and  $S$  be a (semi)group of continuous operators on  $X$ . A mapping  $f$  on  $X$  is called  $S$ -symmetric (or just symmetric) if

$$f(\sigma(x)) = f(x)$$

for every  $x \in X$  and  $\sigma \in S$ .

Symmetric polynomials and analytic functions on finite- and infinite-dimensional linear spaces appear in combinatorics and classical invariant theory (see, e.g., [12, 16]), nonlinear functional analysis [1–4, 7, 11], in applications to quantum physics [5], to informatics [17], and cryptography [6]. For infinite-dimensional Banach spaces, investigations of symmetric polynomials started by A. Nemirovskii and S. Semenov in [14] and M. González, R. Gonzalo, J.A. Jaramillo in [10]. In particular, in [14] the authors constructed algebraic bases of algebras of symmetric real-valued polynomials on real Banach spaces  $\ell_p$  and  $L_p[0, 1]$  for  $1 \leq p < \infty$ . In [10], these results were generalized to Banach spaces with symmetric bases and to separable rearrangement invariant Banach spaces. The cases of  $\ell_\infty$  and  $L_\infty$  were considered in [8, 9, 15].

Let us recall that a Schauder basis  $(e_n)$  of a complex Banach space  $X$  is *symmetric* if for every permutation (one-to-one map)  $\sigma \in S_{\mathbb{N}}$ , the basis  $(e_{\sigma(n)})$  is equivalent to  $(e_n)$ , where  $S_{\mathbb{N}}$  is the semigroup of all permutations on the set of all natural numbers  $\mathbb{N}$ . A mapping  $F$  on  $X$  is said to be *symmetric* if it is  $S_{\mathbb{N}}$ -symmetric, that is,

$$F(x_1, x_2, \dots) = F(x_{\sigma(1)}, x_{\sigma(2)}, \dots)$$

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for each  $\sigma \in S_{\mathbb{N}}$ . A function  $P: X \rightarrow \mathbb{C}$  is a polynomial of degree  $m$  if the restriction of  $P$  to any finite-dimensional subspace of  $X$  is a polynomial of several variables of degree  $\leq m$  and there is a finite-dimensional subspace  $V$  of  $X$  such that the restriction of  $P$  to  $V$  is a polynomial of degree  $m$ . We denote by  $\mathcal{P}_s(X)$  the algebra of all continuous symmetric polynomials on  $X$ .

It is known that polynomials

$$F_k(x) = \sum_{n=1}^{\infty} x_n^k, \quad k \in \mathbb{N},$$

form an algebraic basis in the algebra  $\mathcal{P}_s(\ell_1)$  (see [10]). That is, for any polynomial  $P \in \mathcal{P}_s(\ell_1)$  there is a unique polynomial of several complex variables  $Q(t_1, \dots, t_m)$  such that  $P(x) = Q(F_1(x), \dots, F_m(x))$ . Polynomials  $F_k$  are called *power symmetric polynomials*. The algebraic basis is not unique. We will use also the following bases in  $\mathcal{P}_s(\ell_1)$ :

$$G_n(x) = \sum_{i_1 < \dots < i_n} x_{i_1} \cdots x_{i_n}, \tag{1}$$

which is called the *basis of elementary symmetric polynomials* and

$$H_n(x) = \sum_{i_1 \leq \dots \leq i_n} x_{i_1} \cdots x_{i_n}, \tag{2}$$

which is called the *basis of complete symmetric polynomials*. These bases are connected by known Newton formulas :

$$nG_n = \sum_{k=1}^n (-1)^{k-1} G_{n-k} F_k, \quad n \in \mathbb{N}, \tag{3}$$

$$nH_n = \sum_{k=1}^n H_{n-k} F_k, \quad n \in \mathbb{N}. \tag{4}$$

According to [10], in the general case  $1 \leq p < \infty$ , polynomials  $F_n, n \geq [p]$ , form an algebraic basis in  $H_{bs}(\ell_p)$ , where  $[p]$  is the ceil of  $p$ . On the other hand, we can not define neither elementary or complete symmetric polynomials for any  $n$  by formulas (1) and (2) if  $p > 1$ , because the series in (1) and (2) does not converge for any  $n$ . However, setting in the Newton formulas (3) and (4)  $F_k = 0$  for  $k < p$ , we can define elementary and complete symmetric polynomials on  $\ell_p$  by

$$nG_n^{(p)} = \sum_{k=[p]}^{n-[p]} (-1)^{k-1} F_k G_{n-k}^{(p)} + (-1)^{n-1} F_n,$$

and

$$nH_n^{(p)} = \sum_{k=[p]}^{n-[p]} F_k H_{n-k}^{(p)} + F_n.$$

Here we assume that if  $n - [p] < [p]$ , then

$$\sum_{k=[p]}^{n-[p]} (-1)^{k-1} F_k G_{n-k}^{(p)} = 0 \quad \text{and} \quad \sum_{k=[p]}^{n-[p]} F_k H_{n-k}^{(p)} = 0.$$

Since all polynomials  $F_n$ ,  $n \geq p$ , form an algebraic basis in  $\mathcal{P}_s(\ell_p)$ , from formulas above it follows that both sequences  $(G_n^{(p)})_{n \geq [p]}$  and  $(H_n^{(p)})_{n \geq [p]}$  form algebraic bases in  $\mathcal{P}_s(\ell_p)$ .

We denote by  $\mathbb{Z}_+$  the set of all nonnegative integers. It is well-known that the elementary and complete symmetric polynomials can be expressed in terms of the power symmetric polynomials (see, e.g., [13, p. 6–7]) by the Waring-Girard formulas:

$$G_n = \sum_{\lambda_1+2\lambda_2+\dots+n\lambda_n=n} \frac{(-1)^{n+(\lambda_1+\lambda_2+\dots+\lambda_n)}}{1^{\lambda_1} \cdot 2^{\lambda_2} \cdot \dots \cdot n^{\lambda_n} \lambda_1! \cdot \lambda_2! \cdot \dots \cdot \lambda_n!} (F_1)^{\lambda_1} (F_2)^{\lambda_2} \dots (F_n)^{\lambda_n} \tag{5}$$

and

$$H_n = \sum_{\lambda_1+2\lambda_2+\dots+n\lambda_n=n} \frac{1}{1^{\lambda_1} \cdot 2^{\lambda_2} \cdot \dots \cdot n^{\lambda_n} \lambda_1! \cdot \lambda_2! \cdot \dots \cdot \lambda_n!} (F_1)^{\lambda_1} (F_2)^{\lambda_2} \dots (F_n)^{\lambda_n}, \tag{6}$$

where  $\lambda_j \in \mathbb{Z}_+, j = 1, \dots, n$ .

In this paper, we find some analogs of Waring-Girard formulas for the case of space  $\ell_p$ , where  $p > 1$ , and propose an application to combinatorics.

### 1 Main results

Without loss of generality we can consider the spaces  $\ell_p$  for positive integer numbers  $p$ . If  $p$  is not integer, then we can take  $[p]$  instead of  $p$ . If we put  $F_1 = 0, \dots, F_{p-1} = 0$  to the formulas (5) and (6), we obtain the following analogs of the Waring-Girard formulas in the case of  $\ell_p$  for  $p \geq 1$ :

$$G_n^{(p)} = \sum_{p\lambda_p+\dots+n\lambda_n=n} \frac{(-1)^{n+(\lambda_p+\dots+\lambda_n)}}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n} \lambda_p! \cdot \dots \cdot \lambda_n!} (F_p)^{\lambda_p} \dots (F_n)^{\lambda_n} \tag{7}$$

and

$$H_n^{(p)} = \sum_{p\lambda_p+\dots+n\lambda_n=n} \frac{1}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n} \lambda_p! \cdot \dots \cdot \lambda_n!} (F_p)^{\lambda_p} \dots (F_n)^{\lambda_n}. \tag{8}$$

**Theorem 1.** We have the following presentation for polynomials  $G_n^{(p)}$  and  $H_n^{(p)}$ :

$$G_n^{(p)} = \begin{cases} (-1)^{n+1} \frac{1}{n} \sum_{i=1}^{\infty} x_i^n, & \text{if } p \leq n < 2p, \\ \sum_{p\lambda_p+\dots+n\lambda_n=n} \frac{(-1)^{n+(\lambda_p+\dots+\lambda_n)}}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n}} \sum_{\substack{|k_r|=\lambda_r, \\ i_r^1 < \dots < i_r^s, \\ p \leq r \leq n}} \frac{1}{k_p! \cdot \dots \cdot k_n!} \prod_{j=p}^n \left( x_{i_j^1}^{k_j^1} \dots x_{i_j^s}^{k_j^s} \right)^j, & \text{if } n \geq 2p, \end{cases} \tag{9}$$

and

$$H_n^{(p)} = \begin{cases} \frac{1}{n} \sum_{i=1}^{\infty} x_i^n, & \text{if } p \leq n < 2p, \\ \sum_{p\lambda_p+\dots+n\lambda_n=n} \frac{1}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n}} \sum_{\substack{|k_r|=\lambda_r, \\ i_r^1 < \dots < i_r^s, \\ p \leq r \leq n}} \frac{1}{k_p! \cdot \dots \cdot k_n!} \prod_{j=p}^n \left( x_{i_j^1}^{k_j^1} \dots x_{i_j^s}^{k_j^s} \right)^j, & \text{if } n \geq 2p, \end{cases} \tag{10}$$

where  $|k_r| = k_r^1 + \dots + k_r^s, k_r! = k_r^1! \cdot \dots \cdot k_r^s!, 1 \leq p \leq r \leq n$ .

*Proof.* To prove formula (9), we substitute

$$F_k(x) = \sum_{n=1}^{\infty} x_n^k, \quad k \geq p,$$

to formula (7). In the case  $p \leq n < 2p$  in (7) we have that  $p\lambda_p + \dots + n\lambda_n = n$  is true only if just a single  $\lambda_j = 1$  and other  $\lambda_i = 0, i \neq j, i, j \in \{p, p + 1, \dots, n\}$ , where  $\lambda_j \in \mathbb{Z}_+$ . In this case, we obtain

$$G_n^{(p)} = (-1)^{n+1} \frac{1}{n} F_n(x) = (-1)^{n+1} \frac{1}{n} \sum_{i=1}^{\infty} x_i^n.$$

In the case  $n \geq 2p$ , we have

$$\begin{aligned} G_n^{(p)} &= \sum_{p\lambda_p + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_p + \dots + \lambda_n)}}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n} \lambda_p! \cdot \dots \cdot \lambda_n!} \left( \sum_{i=1}^{\infty} x_i^p \right)^{\lambda_p} \dots \left( \sum_{i=1}^{\infty} x_i^n \right)^{\lambda_n} \\ &= \sum_{p\lambda_p + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_p + \dots + \lambda_n)}}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n} \lambda_p! \cdot \dots \cdot \lambda_n!} \\ &\quad \times \left( \sum_{\substack{|k_p| = \lambda_p, \\ i_p^1 < \dots < i_p^s}} \frac{\lambda_p!}{k_p!} \left( x_{i_p^1}^{k_p^1} \dots x_{i_p^s}^{k_p^s} \right)^p \right) \times \dots \times \left( \sum_{\substack{|k_n| = \lambda_n, \\ i_n^1 < \dots < i_n^s}} \frac{\lambda_n!}{k_n!} \left( x_{i_n^1}^{k_n^1} \dots x_{i_n^s}^{k_n^s} \right)^n \right) \\ &= \sum_{p\lambda_p + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_p + \dots + \lambda_n)}}{p^{\lambda_p} \cdot \dots \cdot n^{\lambda_n}} \sum_{\substack{|k_r| = \lambda_r, \\ i_r^1 < \dots < i_r^s, \\ p \leq r \leq n}} \frac{1}{k_p! \cdot \dots \cdot k_n!} \left( x_{i_p^1}^{k_p^1} \dots x_{i_p^s}^{k_p^s} \right)^p \dots \left( x_{i_n^1}^{k_n^1} \dots x_{i_n^s}^{k_n^s} \right)^n. \end{aligned}$$

Formula (10) can be proved by the same way. □

Formulas (5) and (6) are useful in combinatorics. For example, the well-known combinatorial identity

$$\sum_{\lambda_1 + 2\lambda_2 + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_1 + \lambda_2 + \dots + \lambda_n)}}{1^{\lambda_1} \cdot 2^{\lambda_2} \cdot \dots \cdot n^{\lambda_n} \lambda_1! \cdot \lambda_2! \cdot \dots \cdot \lambda_n!} = 0, \quad n > 1,$$

can be obtained if we compute  $G_n(e_1)$  using (5), where  $e_1 = (1, 0, 0, \dots)$ . By the similar way, we can get some new relations using (9).

**Proposition 1.** *Let  $\lambda_j \in \mathbb{Z}_+, j = 2, \dots, n, n \geq 2$ . The following combinatorial identity*

$$\sum_{2\lambda_2 + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_2 + \dots + \lambda_n)}}{2^{\lambda_2} \cdot \dots \cdot n^{\lambda_n} \lambda_2! \cdot \dots \cdot \lambda_n!} = \frac{(-1)^{n+1} (n-1)}{n!} \tag{11}$$

is true.

*Proof.* To prove the identity, we compute  $G_n^{(2)}(e_1)$  with  $e_1 = (1, 0, 0, \dots) \in \ell_2$ , using (7) and the Newton formula. Indeed, for  $p = 2$  we have

$$\sum_{2\lambda_2 + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_2 + \dots + \lambda_n)}}{2^{\lambda_2} \cdot \dots \cdot n^{\lambda_n} \lambda_2! \cdot \dots \cdot \lambda_n!} = G_n^{(2)}(e_1). \tag{12}$$

On the other hand, we claim that

$$G_n^{(2)}(e_1) = \frac{(-1)^{n+1}(n-1)}{n!}.$$

Let us prove it applying the mathematical induction to the analog of the Newton formula for  $G_n^{(2)}$ :

$$2G_n^{(2)} = \sum_{k=2}^{n-2} (-1)^{k-1} F_k G_{n-k}^{(2)} + (-1)^{n-1} F_n. \tag{13}$$

If  $n = 2$  we have  $2G_2^{(2)} = -F_2$ . Thus,  $G_2^{(2)}(e_1) = -\frac{1}{2}$ . Let us assume that the required identity is true for every  $2 \leq m < n$ . That is,

$$G_{m-1}^{(2)}(e_1) = \frac{(-1)^m(m-2)}{(m-1)!}.$$

From formula (13) and the induction assumption we obtain

$$nG_n^{(2)}(e_1) = -\frac{(-1)^{n-1}(n-3)}{(n-2)!} + \frac{(-1)^{n-2}(n-4)}{(n-3)!} - \dots + (-1)^{n-1} \left(-\frac{1}{2}\right) + (-1)^{n+1}$$

and so

$$(n-1)G_{n-1}^{(2)}(e_1) = -\frac{(-1)^{n-2}(n-4)}{(n-3)!} + \frac{(-1)^{n-3}(n-5)}{(n-4)!} - \dots + (-1)^{n-2} \left(-\frac{1}{2}\right) + (-1)^n.$$

By adding the last formulas, we get

$$nG_n^{(2)}(e_1) + (n-1)G_{n-1}^{(2)}(e_1) = \frac{(-1)^n(n-3)}{(n-2)!}.$$

Hence,

$$nG_n^{(2)}(e_1) + (n-1)\frac{(-1)^n(n-2)}{(n-1)!} = \frac{(-1)^n(n-3)}{(n-2)!}.$$

From here we can get

$$nG_n^{(2)}(e_1) = -(n-1)\frac{(-1)^n(n-2)}{(n-1)!} + \frac{(-1)^n(n-3)}{(n-2)!} = \frac{(-1)^{n+1}}{(n-2)!}.$$

So

$$G_n^{(2)}(e_1) = \frac{(-1)^{n+1}(n-1)}{n!}.$$

Substituting  $G_n^{(2)}(e_1)$  to (12), we obtain the required equality (11). □

Clearly, the correspondence  $G_n \rightsquigarrow G_n^{(p)}$  and  $H_n \rightsquigarrow H_n^{(p)}$  is not a unique way to extend  $G_n$  and  $H_n$  to  $\ell_p$  for  $n \geq p$ . In the general case, we can substitute  $F_1 \equiv a_1, F_2 \equiv a_2, \dots, F_{p-1} \equiv a_{p-1}$  in formulas (5) and (6) for a given  $a = (a_1, \dots, a_{p-1}) \in \mathbb{C}^{p-1}$ . Let  $z = (z_1, \dots, z_{p-1})$  be a vector in  $\mathbb{C}^{p-1}$  such that  $F_1(z) = a_1, \dots, F_{p-1}(z) = a_{p-1}$ . Such a vector exists and unique for a given

$a$  up to permutations of coordinates. Then we can define new symmetric polynomials  $G_n^{(p,a)}$  and  $H_n^{(p,a)}$ ,  $n \geq [p]$ , on  $\ell_p$  by

$$\begin{aligned} nG_n^{(p,a)}(x) &= \sum_{k=[p]}^{n-[p]} (-1)^{k-1} F_k(x) G_{n-k}^{(p,a)}(x) + (-1)^{n-1} F_n(x) \\ &+ \sum_{k < [p]} (-1)^{k-1} F_k(z) G_{n-k}^{(p,a)}(x) + \sum_{k=n-[p]+1}^n (-1)^{k-1} F_k(x) G_{n-k}(z), \end{aligned}$$

and

$$\begin{aligned} nH_n^{(p,a)}(x) &= \sum_{k=[p]}^{n-[p]} F_k(x) H_{n-k}^{(p,a)}(x) + F_n(x) \\ &+ \sum_{k < [p]} F_k(z) H_{n-k}^{(p,a)}(x) + \sum_{k=n-[p]+1}^n F_k(x) H_{n-k}(z). \end{aligned}$$

Note, that polynomials  $G_n^{(p,a)}$  and  $H_n^{(p,a)}$  are not homogeneous if  $a \neq 0$  but still form algebraic bases. It is easy to check that

$$G_n^{(p,a)} = \sum_{1\lambda_1 + \dots + n\lambda_n = n} \frac{(-1)^{n+(\lambda_p + \dots + \lambda_n)} a_1^{\lambda_1} a_2^{\lambda_2} \dots a_{p-1}^{\lambda_{p-1}}}{1^{\lambda_1} \dots n^{\lambda_n} \lambda_1! \dots \lambda_n!} (F_p)^{\lambda_p} \dots (F_n)^{\lambda_n}$$

and

$$H_n^{(p,a)} = \sum_{1\lambda_1 + \dots + n\lambda_n = n} \frac{a_1^{\lambda_1} a_2^{\lambda_2} \dots a_{p-1}^{\lambda_{p-1}}}{1^{\lambda_1} \dots n^{\lambda_n} \lambda_1! \dots \lambda_n!} (F_p)^{\lambda_p} \dots (F_n)^{\lambda_n}.$$

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Класичні формули Варінга-Гіларда дають зображення елементарних та повних симетричних поліномів через степеневі симетричні поліноми. У цій статті отримано аналоги формул Варінга-Гіларда для випадку просторів  $\ell_p$ , де  $1 \leq p < \infty$ , та запропоновано застосування отриманих формул до комбінаторики.

*Ключові слова і фрази:* симетричний поліном на банаховому просторі, формула Варінга-Гіларда, алгебраїчний базис, комбінаторна тотожність.